

**TISE**

The International Stock Exchange

# CATEGORY 1 LISTING MEMBER

**Application Form**

Dublin. Guernsey. Isle of Man. Jersey. London.

[tisegroup.com](http://tisegroup.com)

# Applicant details & Declarations

## Applicant details.

**Name of Applicant:**

**Applicant address:**

**Supervised/  
Unsupervised status:**

If Unsupervised, identify below the regulated entity & address details if different to the above

**Unsupervised** means a firm that is not itself directly licensed, regulated or supervised, but is either:  
i) a wholly owned subsidiary of; or ii) a member of a group containing an entity which is directly licensed, regulated or supervised by a regulatory body recognised by the Authority

**Name of Regulator  
or Supervisor:**

**Memberships of other  
Stock Exchanges:**

## Declarations - to be signed by two directors of the Applicant

By ticking this check box,  
you agree to the  
following declarations:

- We confirm that the Applicant has adequate policies, procedures and controls in place for countering financial crime and terrorist financing.
- We confirm that the Applicant has adequate policies, procedures and controls in place for the prevention of tax evasion, bribery and corruption.
- We confirm that the relevant employees of the Applicant have read and understood the [Privacy Statement](#).
- We confirm that the Applicant has sufficient experience, policies, procedures, controls and resources to act as a Category 1 Listing Member.

## MyTISE - online member services portal

In consideration of the Authority providing the Contributor and its Users with access to and use of the MyTISE, the Contributor hereby warrants to the Authority that:

- It has read, understood and accepts the [MyTISE Terms and Conditions](#);
- It will ensure that its Users comply with the [MyTISE Terms and Conditions](#), as amended from time to time and all applicable Listing Rules, Membership Rules, laws, regulations and market conventions;
- All its Users have agreed to their personal data being collected, processed and retained by the TISE Entities for the purposes of providing Users with access to and use of MyTISE; and
- It is responsible for the Content and shall use its best efforts and take all reasonable steps to ensure that all Content is complete and accurate, and complies with all applicable Listing Rules, Membership Rules, laws, regulations and market conventions.

### Signatory 1 (required)

Signature

Date

### Signatory 2 (required)

Signature

Date

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Version: January 2022

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